



K20U 0183

Reg. No. :

Name :

VI Semester B.Com. Degree (CBCSS-Reg./Supple./Improv.)

**Examination, April 2020
(2014 Admission Onwards)**

Core Course

6B18COM : FINANCIAL MARKETS AND SERVICES

Time : 3 Hours

Max. Marks : 40

PART – A

Answer **all** questions. **Each** carries $\frac{1}{2}$ mark.

1. The capital invested in a high risk and high return project is called _____ capital.
2. Money market is controlled by _____
3. The prospectus used in the book building process is known as _____
4. SEBI was constituted in the year _____ (4× $\frac{1}{2}$ =2)

PART – B

Answer **four** questions. **Each** carries **one** mark.

5. What do you mean by MMMFs ?
6. What do you mean by financial service ?
7. What do you mean by financial engineering ?
8. Give the meaning of non-banking financial company.
9. What do you mean by SWEAT equity shares ?
10. What is factoring ? (4×1=4)

P.T.O.



PART – C

Answer **any six** questions (not exceeding **one** page). **Each** carries **three** marks.

11. What is listing of shares ? What are its advantages ?
12. What are the functions of new issue market ?
13. Explain CRISIL. State its workings.
14. State the functions of factoring.
15. Name the different kinds of speculators in a stock exchange.
16. What are the defects of Indian money market ?
17. What are the objectives of SEBI ?
18. Venture capital is a must for economic development – Explain. (6×3=18)

PART – D

Answer **any two** questions. **Each** carries **eight** marks.

19. Discuss the various financial instruments used in capital market.
 20. Describe the various functions of Merchants Banks.
 21. Define mutual fund. What are the different types of mutual funds ? (2×8=16)
-